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Mcall Burrese 10-19-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of

October 19, 2004

Mukta Faroog, et al.

Serial No. 10/711,461:

Examiner: Not yet assigned

Filed: 9/20/04

International Business Machines Corporation

2070 Route 52

Hopewell Junction, NY 12533

TITLE: STRUCTURE AND METHOD TO GAIN SUBSTANTIAL RELIABILITY IMPROVEMENTS IN LEAD-FREE BGAs ASSEMBLED WITH LEAD-BEARING

SOLDERS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. 1.56, and further pursuant to the provisions of 37 C.F.R. 1.97 and 1.98, applicants hereby respectfully submit copies of the US patents and publications as listed on Form PTO-1449, attached hereto.

In citing these documents, no representation is made nor intended as to the pertinency or nonpertinency of the art, that better art than that listed is not available, or that other art is not applicable.

No fee is believed to be due for this submission. If any fees are required, however, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 09-0458.

Respectfully submitted, Mukta Farooq, et al.

> Ira D. Blecker, Attorney Registration No. 29,894

Telephone No. 845-894-2580

Sheet 1 of 1 10RMB1Q-1449 **U.S. DEPARTMENT OF COMMERCE** ATTY, DOCKET NO.: SERIAL NO.: PATENT AND TRADEMARK OFFICE FIS920040001US1 10/711,461 SUPPLEMENTAL INFORMATION DISCLOSURE OCT 2 1 2004 STATEMENT BY APPLICANT APPLICANT: Mukta G. Faroog et al. Use several sheets if necessary) (37 CFR 1,96(6)) **FILING DATE:** 9/20/04 **GROUP: U.S. PATENT DOCUMENTS** REFERENCE DESIGNATION **EXAMINER** ISSUE CLASS SUB-**FILING DATE IF** INITIAL APPROPRIATE **PATENT NUMBER** DATE **PATENTEE CLASS** AΑ AB AC AD ΑE AF AG AH ΑI ΑJ ΑK PUBLICATION **PUBLICAITON NUMBER** DATE **PATENTEE** ΑL AM AN AO ΑP **FOREIGN PATENT DOCUMENTS PUBLICATION COUNTRY OR** SUB-**TRANSLATION DOCUMENT NUMBER** DATE **PATENT OFFICE** CLASS **CLASS** YES NO AQ EPO 0177042 A 09.04.86 **EPO** AR OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) AS "Solder Joint Formation With Sn-Ag-Cu And Sn-Pb Solder BAI Pastes", Polina Snugovsky et al., Clestica International, Inc; SMTA, 12/31/2003; www.smta.org/knowledge/proceedings_abstact.cfm?PROCEEDING_ID = 1128 ΑT DATE CONSIDERED **EXAMINER**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.